Cyanobacterial Harmful Algal Blooms

ADVANCES IN EXPERIMENTAL MEDICINE AND BIOLOGY

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STATE OF THE SCIENCE AND RESEARCH NEEDS

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H. Kenneth Hudnell Editor

Cyanobacterial Harmful Algal Blooms: State of the Science and Research Needs



Editor
H. Kenneth Hudnell
United States Environmental Protection
Agency
Triangle Park, NC
USA

Series Editors Nathan Back State University of New York at Buffalo USA

Abel Lajtha Center for Neurochemistry Division of the Nathan S. Kline Institute for Psychiatric Research, Orangeburg NY, USA

Rodolfo Paoletti Department of Obstetrics and Gynecology University of Milan, Italy Irun R. Cohen The Weizmann Institute of Science Rehovot. Israel

John D. Lambris University of Pennsylvania

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Preface

Interagency ISOC-HAB Symposium Introduction

This symposium was held to assess the state-of-the-science and identify research needed to address the increasing risks posed by freshwater harmful algal blooms to human health and ecosystem sustainability. Information obtained through the symposium will help form the scientific basis for developing and implementing strategies to reduce these risks.

All chapters in this book are based on platform sessions or draft workgroup reports that were presented at ISOC-HAB. All chapters were completed after the conclusion of ISOC-HAB. Each chapter was critically reviewed by at least two peers with expertise in the subject matter, revised based on those reviews, and reviewed by the editor before being accepted for publication.

Grateful acknowledgment is given to the National Science and Technology Council's Committee on the Environment and Natural Resources in the Executive Office of the President for providing guidance, to the sponsoring agencies, to the agency representatives named below who organized the symposium, to the international scientific community members who participated in the symposium, and to EC/R of Durham, NC, the contracting organization that provided logistical support for the symposium and this monograph.

Interagency ISOC-HAB Organizing Committee

H. Kenneth Hudnell, Lead Organizer & Symposium Director

U.S. Environmental Protection Agency Office of Research and Development National Health and Environmental Effects Research Laboratory Research Triangle Park, NC 27711 Neurotoxicologist, 1984–2007

Currently: Vice President & Director of Science SolarBee, Inc. (http://www.SolarBee.com) 105 Serrano Way Chapel Hill, NC 27517 (877) 288-9933; (919) 932-7229 kenhud@SolarBee.com Main Office & Service Center 3225 Hwy. 22, PO Box 1930, Dickinson, ND 58602 (866) 437-8076 . (701) 225-4495 . Fax (701) 225-0002

Lorrie Backer, CDC Brenda Boutin, EPA Armah de la Cruz. EPA Ed Dettmann, EPA Joyce Donohue, EPA Quay Dortch, NOAA Al DuFour, EPA TJ Evens, USDA Gary Fahnenstiel, NOAA John Fournie, EPA Jim Goodrich, EPA Sherwood Hall, FDA Elizabeth Hilborn, EPA Michelle Hooth, NIH/NIEHS Karl Jensen, EPA

John R Kelly, EPA

Beth LeaMond, EPA Alan Lindquist, EPA Brian Melzian, EPA Michael Meyer, USGS Bruce Mintz, EPA Tonya Nichols, EPA Nena Nwachuku, EPA

Jennifer Orme-Zavaleta, EPA

Rex Pegram, EPA Gina Perovich, EPA Joel Scheraga, EPA Jim Sinclair, EPA

Cynthia Sonich-Mullin, EPA Jeffery Steevens, USACE Bruce Vogt, NOAA

ISOC-HAB Executive Advisory Committee

Paul Berger, EPA Bob MacPhail, EPA Gerard Stelma, EPA Barbara Walton, EPA Harold Zenick. EPA

Invited Participants

Occurrence Workgroup

Workgroup Members

Sherwood Hall, Co-chair

US Food & Drug Administration shall@cfsan.fda.gov 301-210-2160

Gregory L Boyer

College of Environmental Science and Forestry State University of New York glboyer@esf.edu

315-470-6825

JoAnn M Burkholder

Center for Applied Aquatic Ecology, NCSU

joann_burkholder@ncsu.edu 919-515-3421

Wayne W. Carmichael

Wright State University wayne.carmichael@wright.edu 937-775-3173

William Frazier

City of High Point bill.frazier@highpointnc.gov 336-883-3410

Steve Morton

NOAA

steve.morton@noaa.gov

Steven Walker

Nebraska Department of Environmental Quality steve.walker@ndeq.state.ne.us 402-471-4227

Jim Sinclair, Co-chair

USEPA

Sinclair.james@epa.gov

Julie Berkman

US Geological Survey jberkman@usgs.gov 614-430-7730

John Burns

PBS&J jwburns@pbsj.com 904-477-7723

Al DuFour

USEPA

dufour.alfred@epa.gov

Tony Fristachi

NCEA CIN

fristachi.anthony@epa.gov

513-569-7144

Eric O'Brien

University of Iowa eobrien@igsb.uiowa.edu

319-560-6128

Invited Speakers on Occurrence

Gregory L Boyer (see above) John Burns (see above) Dr. Wayne W. Carmichael (see above) Steven Walker (see above)

Causes, Prevention, and Mitigation

Workgroup Members

Jim Goodrich, Co-chair USEPA goodrich.james@epa.gov 513-569-7605

Quay Dortch, Co-chair NOAA Coastal Ocean Program quay.dortch@noaa.gov 301-713-3338 ext 157

Justin Brooks
SA Water Centre for Water Science and
Systems
CRC for Water Quality and Treatment

+61 8 8259 0222

Chris Gobler
Marine Science Research Center
Long Island University
Christopher.Gobler@liu.edu

Justin Brookes@sawater.com.au

James Hyde New York Department of Health jbh01@health.state.ny.us

Kevin O'Shea Florida International University osheak@fiu.edu **Gina Perovich, Co-chair**National Center for Environmental Research, USEPA

Perovich.gina@epa.gov 202-343-9843

Paul S. Berger USEPA (retired) rainchoir@aol.com 703-751-6742

Terence J. Evens

USDA-ARS TEvens@ushrl.ars.usda.gov 772-462-5921

Jennifer Graham U.S. Geological Survey jlgraham@usgs.gov

785-832-3511 **Dawn Karner**

Wisconsin State Laboratory of Hygiene 608-224-6230 dkarner@mail.slh.wisc.edu

Valerie Paul Smithsonian Marine Station paul@sms.si.edu 772-465-6630x140

Causes, Prevention, and Mitigation

Workgroup Members

Hans W. Paerl UNC - Chapel Hill Institute of Marine Sciences hans_paerl@unc.edu

(252) 222-6346

Barry Rosen

U. S. Fish & Wildlife Service barry_rosen@fws.gov 772-562-3909

Pat Tester

NOAA Center for Coastal Fisheries and Habitat Research Pat.Tester@noaa.gov 252-728-8792 Michael Piehler

UNC - Chapel Hill Institute of Marine Sciences mpiehler@email.unc.edu (252) 726-6841 ext. 160

Mary Santelmann

Oregon State University santelmm@onid.orst.edu 541-737-1215

Dr. Judy Westrick

Chemistry, CRW 318 Lake Superior State University jwestrick@lssu.edu (906) 635-2165

Invited Speakers on Causes, Prevention, and Mitigation

Dr. Hans W. Paerl (see above)

Dr. Valerie J. Paul

(see above)

Michael Piehler (see above) Dr. Judy Westrick

(see above)

Cyanotoxins Workgroup

Workgroup Members

Tonva Nichols, Co-chair

USEPA

Nichols.tonya@epa.gov

Stacey Etheridge

FDA HFS-426 BRF

stacey.etheridge@cfsan.fda.gov

301-210-2162

Rex Pegram, Co-chair

USEPA

pegram.rex@epa.gov

Andrew Humpage

CRC for Water Quality and Treatment andrew.humpage@sawater.com.au

+61 8 8259 0222

Cyanotoxins Workgroup

Workgroup Members

Susan LeBlanc

Department of Biology, University of Ottawa 30 Marie Curie, P.O. Box 450, Station A Ottowa, Canada K1N 6N5

Brett Neilan

Microbiology University of New South Wales b.neilan@unsw.edu.au 612 9385 3235

Maria Runnegar

University of Southern California 323 442 3231 runnegar@usc.edu

Invited Speakers on Cyanotoxins

Andrew Humpage

(See above) **Adam Love**(See above)

Adam Love

Lawrence Livermore National Laboratory love5@llnl.gov 925-422-4999

Stephan Pflugmacher

Leibniz Institute of Freshwater Ecology and Inland pflug@IGB-Berlin.de 0049-30-64181639

Robert Thacker

University of Alabama at Birmingham thacker@uab.edu 205-956-0188

Brett Neilan (See above)

Analytical Methods Workgroup

Workgroup Members

Dr. Armah A. de la Cruz, Co-chair

USEPA delacruz.armah@epa.gov 513-569-7224

Kathy Echols

USGS Columbia Env. Research Center 573-876-1838 kechols@usgs.gov

Dr. Michael Meyer, Co-chair

US Geological Survey mmeyer@usgs.gov 785 832-3544

Ambrose Furey

Cork Institute of Technology afurey@cit.ie 00353-21-4326701

Analytical Methods Workgroup

Workgroup Members

Dr. James Hungerford

FDA Seafood Products Research Center James. Hungerford@fda.gov 425-483-4894

Rosemonde Mandeville

Biophage Pharma Inc. rosemonde.mandeville @biophagepharma.com 514-496-1488

Dr. Parke Rublee

Professor of Biology UNC Greensboro parublee@uncg.edu Phone: 336 256-0067

Dr. Gerard Stelma

USEPA

National Exposure Research Laboratory stelma.gerard@epa.gov 513-569-7384

Paul Zimba

USDA

pzimba@msa-stoneville.ars.usda.gov

Linda Lawton

The Robert Gordon University +44 1224 262823 l.lawton@rgu.ac.uk

Jussi Meriluoto

Abo Akademi University jussi.meriluoto@abo.fi +358-2-2154873

Kaarina Sivonen

Helsinki University
Department of Applied Chemistry
and Microbiology
kaarina.sivonen@helsinki.fi
+358-9-19159270

Dr. Steven W. Wilhelm

Department of Microbiology The University of Tennessee wilhelm@utk.edu 865-974-0665

Invited Speakers on Analytical Methods

Dr. Jussi Meriluoto (see above) Dr. Linda Lawton (see above Dr. Kaarina Sivonen

(see above)

Dr. Steven W. Wilhelm

(see above)

Human Health Effects Workgroup

Workgroup Members*

John W Fournie, Co-chair

USEPA

fournie.john@epa.gov

Sandra Azevedo

Federal University of Rio de Janeiro

sazevedo@biof.ufrj.br

Ian Falconer

Pharmacology Department University of Adelaide

+62 2 6251 1345

Karl Jensen

USEPA

Jensen.karl@epa.gov

Ian Stewart

Research Fellow

School of Public Health

Griffith University

and

Research Officer

Organic Chemistry

Oueensland Health Scientific Services

39 Kessels Road

COOPERS PLAINS

QLD 4108, Australia

*Michael Gage, Ellen Rogers, and Glen Shaw also contributed to the Workgroup Report.

Invited Speakers on Human Health Effects

Ian Falconer

(see above)

Ian Stewart

(see above)

Louis Pilotto

Faculty of Medicine

University of New South Wales

+61 (2) 69335111

l.pilotto@unsw.edu.au

Elizabeth D Hilborn, Co-chair

USEPA

hilborn.e@epa.gov

Neil Chernoff

USEPA

chernoff.neil@epa.gov

Michelle Hooth

National Institute of Environmental

Health Science

hooth@niehs.nih.gov

Robert MacPhail

Neurotoxicology USEPA

macphail.robert.epa.gov

Ecosystem Effects Workgroup

Workgroup Members

John W Fournie, Co-chair

(see above) **Geoff Codd**

University Of Dundee G.A.Codd@Dundee.Ac.Uk

Julie Dyble NOAA

juli.dyble@noaa.gov

Bas Ibelings

Netherlands Institute of Ecology b.ibelings@nioo.knaw.nl

+ 31 294239349

Wayne Litaker

National Ocean Service NOAA wayne.litaker@noaa.gov 252-728-8774 Elizabeth D Hilborn, Co-chair

(see above)

Dr. Michael Coveney

St. Johns River Water Management District

mcoveney@sjrwmd.com

386-329-4366

Karl Havens

Department of Fisheries and Aquatic Sciences

University of Florida / IFAS 352-392-9617 ext. 232 khavens@ifas.ufl.edu

Jan Landsberg

Florida Fish and Wildlife Conservation Com-

mission

jan.landsberg@fwc.state.fl.us

727-896-8626

Invited Speakers on Ecosystem Effects

Dr. Bas Ibelings (see above)

Karl Havens

(see above)

Risk Assessment Workgroup

Workgroup Members

Joyce Donohue, Co-chair

USEPA

donohue.jovce@epa.gov

202-566-1098

Michael Burch

Cooperative Research Centre for Water Quality and Treatment

SA Water

mike.burch@sawater.com.au

61 8 82590352

Belinda Hawkins

USEPA

hawkins.belinda@epa.gov

513 569-7523

Wavne Munns

USEPA

munns.wayne@epa.gov

401-782-3017

Dennis Steffenson

Cooperative Research Centre for Water Quality and Treatment

SA Water

dennis.steffensen@sawater.com.au

61 8 82590326

Peter Tango

Maryland Department of Natural Re-

sources

ptango@dnr.state.md.us

410-260-8651

Jennifer Orme-Zavaleta, Co-chair

USEPA

orme-zavaleta.jennifer@epa.gov

919-541-5680

Dr. Daniel Dietrich

SSPT, GSPT, EUROTOX, FATS

University of Pittsburgh

Daniel.Dietrich@uni-konstanz.de

0049-7531-883518

Tony Lloyd

Drinking Water Inspectorate (Retired)

AL Consultants

julony@btinternet.com

00441424754013

Jeffery Steevens

US Army Corps of Engineers

steevej@wes.army.mil

601-634-4199

Dave Stone

Oregon Health Services

Dave.Stone@state.or.us

971-673-0444

Invited Speakers on Risk Assessment

Michael D Burch

(see above)

Daniel Dietrich

(see above)

Wayne Munns

(see above)

Jennifer Orme-Zavaleta

(see above)

Dennis Steffensen

(see above)

Agency Disclaimers

EPA

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The findings and conclusions in this report are those of the author(s) and do not necessarily represent the views of the Centers for Disease Control and Prevention/the Agency for Toxic Substances and Disease Registry.

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Chapter 1: An Overview of the Interagency, International Symposium on Cyanobacterial Harmful Algal Blooms (ISOC-HAB): Advancing the Scientific Understanding of Freshwater Harmful Algal Blooms

H Kenneth Hudnell, Quay Dortch, Harold Zenick

Abstract

There is growing evidence that the spatial and temporal incidence of harmful algal blooms is increasing, posing potential risks to human health and ecosystem sustainability. Currently there are no US Federal guidelines, Water Quality Criteria and Standards, or regulations concerning the management of harmful algal blooms. Algal blooms in freshwater are predominantly cyanobacteria, some of which produce highly potent cyanotoxins. The US Congress mandated a Scientific Assessment of Freshwater Harmful Algal Blooms in the 2004 reauthorization of the Harmful Algal Blooms and Hypoxia Research and Control Act. To further the scientific understanding of freshwater harmful algal blooms, the US Environmental Protection Agency (EPA) established an interagency committee to organize the Interagency, International Symposium on Cyanobacterial Harmful Algal Blooms (ISOC-HAB). A theoretical framework to define scientific issues and a systems approach to implement the assessment and management of cyanobacterial harmful algal blooms were developed as organizing themes for the symposium. Seven major topic areas and 23 subtopics were addressed in Workgroups and platform sessions during the symposium. The primary charge given to platform presenters was to describe the state of the science in the subtopic areas, whereas the Workgroups were charged with identifying research that could be accomplished in the short- and long-term to reduce scientific uncertainties. The proceedings of the symposium, published in this monograph, are intended to inform policy determinations and the mandated Scientific Assessment by describing the scientific knowledge and areas of uncertainty concerning freshwater harmful algal blooms.

Background

There is growing concurrence among scientists, risk assessors, and risk managers that the incidence of harmful algal blooms (HABs) is increasing in spatial and temporal extent in the US and worldwide. HABs occur in marine, estuarine, and freshwater ecosystems. A National Plan that primarily targets HABs and their toxins in marine and estuarine waters has been developed, Harmful Algal Research and Response: A National Environmental Science Strategy 2005-2015, (HARNESS 2005), but an analogous plan for freshwater HABs has not been developed. Although many algal groups form HABs within a range of salinity levels, dinoflagellates comprise the majority of marine and estuarine HABs, whereas cyanobacteria are the predominant source of freshwater HABs. The Interagency, International Symposium on Cyanobacterial Harmful Algal Blooms (ISOC-HAB) focused on cyanobacterial HABs (CHABs) because characterization of the state of the science and identification of research needs is essential for the development of a freshwater research and response plan. CHABs and their highly potent toxins, collectively known as cyanotoxins, pose a potential risk to human health. Ecosystem sustainability is compromised by CHABs due to toxicity, pressures from extreme biomass levels, and the hypoxic conditions that develop during CHAB die offs and decay. Some of these risks are described in the World Health Organization's guidelines for CHABS (WHO 1999). However, current data in the US are insufficient to unequivocally confirm an increased incidence or to fully assess the risks of CHABs, thereby complicating Federal regulatory determinations and the development of guidelines, Water Quality Criteria and Standards, and regulations. As a result, state, local, and tribal authorities are placed in the quandary of responding to CHAB events by developing and implementing risk management procedures without comprehensive information or Federal guidance. This dilemma was recognized by the US Congress and expressed in the 2004 reauthorization and expansion of the 1998 Harmful Algal Blooms and Hypoxia Research and Control Act (HABHRCA). Whereas HABHRCA originally targeted harmful algal blooms in the oceans, estuaries and the Great Lakes, the reauthorized Act mandated a Scientific Assessment of Freshwater Harmful Algal Blooms, which will: 1) examine the causes, consequences, and economic costs of freshwater HABs throughout the US; 2) establish priorities and guidelines for a research program on freshwater HABs; and 3) improve coordination among Federal agencies with respect to research on HABs in freshwater environments.

The US Environmental Protection Agency (EPA) is authorized to protect human health and the environment from contaminants in drinking and recreational waters through the mandates of the Safe Drinking Water Act. last amended in 1996 (SDWA 1996), and the Clean Water Act, last amended in 2002 (CWA 2002). The National Oceanographic and Atmospheric Administration (NOAA), EPA and other Federal agencies recognize that cyanotoxins in freshwaters may present a risk to human health through the potential for exposure from recreational waters, drinking water, fish and shellfish consumption, and other vectors. The Federal agencies also recognize that cyanobacteria and cyanotoxins threaten the viability of aquatic ecosystems through alteration of the habitats that sustain plants, invertebrates and vertebrates. EPA's Office of Water listed cyanobacteria and cyanotoxins on the first drinking water Contaminant Candidate List (CCL) of 1998 and the second, CCL2, of 2005 (CCL 2006). Risk assessments, regulatory determinations, and risk management procedures can be informed by research that further clarifies: 1) the spatial extent and temporal frequency of freshwater CHABs, both toxic and non-toxic; 2) doseresponse relationships describing the effects of individual cyanotoxins and commonly occurring cyanotoxin mixtures in humans and other species at risk; and 3) cost effective means to prevent, control, and mitigate CHABs in surface waters.

EPA's National Health and Environmental Effects Research Laboratory, a component of the Office of Research and Development, invited other Federal and state entities to co-sponsor a CHAB symposium, ISOC-HAB. The purpose of the Symposium was to characterize the state of the science and to identify research needs, thereby informing EPA's Office of Water and the HABHRCA-mandated Scientific Assessment of Freshwater Harmful Algal Blooms. NOAA and seven other Federal entities, the Food and Drug Administration, Department of Agriculture, Centers for Disease Control and Prevention, Army Corps of Engineers, US Geological Survey, National Institutes of Health, and National Institute of Environmental Health Sciences, as well as the University of North Carolina Institute of Marine Sciences joined EPA in co-sponsoring ISOC-HAB. An interagency organizing committee of 32 members and a five member executive advisory committee (see Organizing Committee page) were assembled to develop an operational structure for ISOC-HAB.

Theoretical Framework for Cyanobacterial Harmful Algal Blooms

The ISOC-HAB Organizing Committee developed a theoretical framework of interrelationships between factors that may influence the development of CHABs and be impacted by CHABs to help identify the major topic areas and subtopics of the symposium (Fig. 1). Both natural forces and human activities may be promoting CHABs through habitat alteration (Causes, Prevention and Mitigation Workgroup Report this volume). The natural forces may include an upswing in temperature cycles that allow tropical genera of cyanobacteria to flourish in subtropical regions, the evolution of new strains of cyanobacteria that can better compete for survival and dominance, a decline in predatory populations that limit cyanobacteria growth, and age-related eutrophication of surface waters. Anthropogenic pressures may be major sources of ecological change that promote CHABs. There is evidence that greenhouse gasses are increasing global temperatures, thereby allowing temperature limited genera and species to expand spatially and temporally (Paul this volume). Excessive levels of nitrogen and phosphorus in surface waters from point and non-point sources promote the development of CHABs, and their ratios may determine which species dominate blooms (Paerl this volume). Waters that are high in phosphorus and relatively low in nitrogen are typically dominated by species that contain heterocysts, specialized cells to collect and fix nitrogen into useable forms. Non-heterocyst containing species often dominate blooms in waters that are high in nitrogen. The incidence of CHABs may be increased by pollutants, such as pesticides and metals in storm-water runoff and other sources that disrupt the balance between cyanobacteria and their predators, or lead to the rise of more resilient strains of cyanobacteria through natural selection. The introduction of non-native organisms into surface waters also may promote CHABs. The recent resurgence of CHABs in the Great Lakes is associated with the invasion of Asiatic Zebra muscles, Dreissena polymorpha, that may selectively filter-feed non-toxic phytoplankton (Occurrence Workgroup Report this volume). The combined pressures from natural forces and human activities on surface waters may provide a competitive advantage to cyanobacteria over their predators, leading to an increase in the spatial and temporal extent of CHABs.

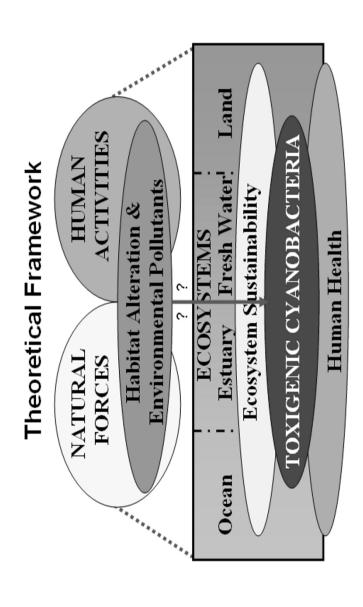


Fig. 1. Both natural forces and human activities may alter habitats in ways that promote the occurrence of cyanobacterial harmful algal blooms, increasing the potential for adverse effects on ecosystem sustainability and human health.

Although CHABs primarily occur in fresh and estuarine waters, there is increasing recognition that cyanobacteria blooms in oceans are threatening the sustainability of some marine ecosystems (Ecosystem Effects Workgroup Report this volume). The recent and unprecedented decline in viable coral reefs worldwide is due in part to marine CHABs (Paul this volume). Species of toxigenic *Lyngbya* adapted to high salinity environments can form benthic mats that expand over an area equivalent to a football field within an hour, causing ecological damage and endangering human health (Australian Environmental Protection Agency 2003).

Cyanotoxins also are found in terrestrial environments where they may pose a risk to human and animal health. Surface waters are increasingly used for field irrigation in agricultural production. Water drawn from sources experiencing toxigenic CHABs is sprayed on crops, producing cyanotoxin-containing aerosols that may be inhaled by humans and other animals, and absorbed by crops. Cyanobacteria can form a symbiotic relationship with terrestrial plants which may biomagnify cyanotoxins. Cyanobacteria of the genus Nostoc form colonies on the roots of cycad plants in Guam where for more than 30 years scientists have tried to unravel the genesis of the mysterious neurodegenerative disease that afflicts the native Chamorro population. An amino acid cyanotoxin produced by Nostoc, beta methylamino-alanine (BMAA), accumulates in cycad seeds. The seeds are eaten by a species of bat that accumulates high levels of BMAA in its tissues. The bat is a traditional food source for the Chamorro. Analyses detected BMAA in brain tissues of Chamorro victims, leading to the hypothesis that BMAA causes neurodegeneration that may manifest with features of amyotrophic lateral sclerosis, Parkinson's disease, and Alzheimer's dementia. Recent evidence indicates that BMAA is produced by most types of cyanobacteria, and that it may be associated with neurodegenerative diseases elsewhere (Human Health Effects Workgroup Report this volume).

Cyanobacteria and cyanotoxins are clearly hazardous to human health and ecosystem sustainability, but the degree of risk they present is unclear (Risk Assessment Workgroup Report, this volume). Research is needed to accurately assess the risks and provide risk managers with cost effective options for reducing the risks as warranted. A Scientific Assessment of Freshwater HABs can describe a comprehensive approach toward understanding the interconnections between the causes of blooms and toxin production, the characteristics and magnitude of the risks they pose, and the means for reducing the risks through prevention and mitigation strategies. Meeting these objectives requires that relationships between CHABs, humans, and the environment be viewed as a system of interconnected components.

A Systems Approach to Cyanobacterial Harmful Algal Blooms

The concept of a systems approach can be traced back to ancient Greece when Aristotle proclaimed that "The whole is more than the sum of its parts." A system is generally defined today as a dynamic process that provides the functionality required by users of the system. In engineering, a systems approach integrates multidisciplinary groups into a unified team that develops and implements a process from concept to operation. The application of a systems approach to risk assessment and management issues requires several fundamental components.

- Integration of discovery (i.e., descriptive) science with hypothesisdriven science
- A cross-disciplinary team to develop and implement the system
- Development of new approaches and technologies coupled with tools for data acquisition, storage, integration, and analysis

Whereas a systems approach to CHABs is appropriate, a broad perspective is required to accommodate the stochastic nature of biological and ecological processes. That is, the causes, occurrences, production of hazardous materials, routes of exposure, dosage of hazardous materials, and effects of a CHAB can be viewed as an ordered collection of random variables whose values change over space and time. These components and their interconnections, the processes by which one component at least partially determines the qualities of the next component, form the CHAB pathway. The combination of the CHAB pathway, risk assessment, policy determination, and risk management forms a systems approach to CHABs. A systems approach to CHABs provides the perspective that ecosystems partially determine human well-being, and that humans partially determine ecosystem well-being. To produce the tools required to manage the risks that CHABs impose on humans and ecosystems, it is necessary to characterize the components and their interconnections. Successful risk management tools may target the components and interconnections of the CAHB pathway for disruption to reduce risk.